

Governance and Audit Committee Tracker

Date of Committee / Minute Number	Agreed Action	Lead	Target Date	Progress/ Completed
26 April 2018 / 47	<p>External Audit Annual Audit Plan 2017-18. An audit to be undertaken by the Internal Shared Service, in addition to one carried out by lead authority of Cardiff City Council and/or Cardiff City Deal Joint Committee, to ensure accountability was shown by BCBC as a participating authority.</p>	Head of Internal Audit	November 2020	Awaiting new City Deal projects to be approved as currently only one project is live and then an Internal Audit review can be scoped.
18 April 2019 / 125	<p>Regional Internal Audit Shared Service Charter 2019/20</p> <ul style="list-style-type: none"> • Harmonisation of the Audit Committees Terms of Reference with neighbouring authorities. • Suggested consideration for potential networking initiative – Chairs of Audit Committees of neighbouring authorities to meet up and share best practice. 	<p>Head of Internal Audit</p> <p>Head of Internal Audit</p>	January 2021	<p>Harmonisation of the Audit Committees Terms of Reference with neighbouring authorities is in the Forward Work Programme for January 2021 depending on Local Government Bill being published by Welsh Government.</p> <p>All Wales Session for Audit Committee Chair took place on 11th October 2019 - Completed.</p>

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14 November 2019 / 156	Disabled Facilities Grant (DFG) - Response To WAO Recommendations Head of Performance and Partnership Services to embed new ways of working, and carry out two procurement exercises and also start to recruit staff.	Head of Performance and Partnership Services	November 2020	Head of Partnership Services to report back to a future Committee on improvements made in the performance of DFGs.
30 January 2020 / 177	Wales Audit Office – Overview And Scrutiny – Fit For The Future? Wales Audit Office to provide report on innovative practices within Scrutiny.	Wales Audit Office	September 2020	Audit Wales has presented the interactive tool on innovative practices to the Recovery Panel and the action is now complete.
16 July 2020 / 193	Annual Internal Audit Report 2019-20	Head of Internal Audit	September 2020	The Head of Internal Audit provided details to the Members in relation to the Contract Management report and the action is now complete.
10 September 2020 / 200	Audit Wales Audit Committee Update Audit Wales present an update to the next Committee on whether it was undertaking a piece of work on mental health associated with Covid-19.	Audit Wales	November 2020	Information has been provided to the Committee by Audit Wales and the action is now complete.
10 September 2020 / 201	Corporate Risk Assessment 2020-21	Chief Officer – Finance, Performance and Change	November 2020	Members be provided with details of unnecessary bureaucratic processes that had ceased as per the CRR.
10 September 2020 / 205	Annual Internal Audit Plan 2020-21	Head of Internal Audit	November 2020	A report be presented to the next Committee on progress with the Internal Audit Plan to date.

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		Head of Internal Audit	November 2020	Members be provided with details of the 12 items which have been deferred and the intended treatment moving forward. Information has been provided to the Committee by Internal Audit and the action is now complete.
10 September 2020 / 206	Annual Corporate Fraud Report 2019-20	Chief Officer – Finance, Performance and Change & Head of Internal Audit	November 2020	A refreshed fraud strategy be presented to the next Committee.